

Brad Bondi Speaks at the 2015 FINRA Annual Conference

Wednesday, May 27, 2015 5:15 PM to 6:30 PM

[External Link: Conference Brochure](#)

On Wednesday, May 27th, Brad Bondi participated in a panel discussion at the 2015 FINRA Annual Conference in Washington D.C. The discussion, entitled "Enforcement: Case Studies," provided an overview of new developments and trends in enforcement, including enforcement priorities, as well as policy changes and clarifications, particularly regarding information requests. Brad was joined by Tanya Stern Bernotas, Director of Regulatory Relations at RBS; Brad Bennett, Director of Enforcement at FINRA; and Susan Schroeder, SVP & Deputy Chief of Enforcement at FINRA.

Attorney

- Bradley J. Bondi