

Anirudh Bansal Speaks at SIFMA Compliance & Legal Society Annual Seminar

Monday, March 25, 2019 4:15 PM to 5:30 PM

Cahill partner Anirudh Bansal spoke on the "Investment Banking and Capital Markets Enforcement Issues and Developments" panel at the SIFMA Compliance & Legal Society Annual Seminar on March 25, 2019 in Phoenix, Arizona. The discussion included:

- SEC enforcement focus – securities and initial token offerings, registration issues, insider trading
- CFTC enforcement priorities and trends, including manipulation, spoofing and the status of cooperation programs
- DOJ activity in trading and markets matters: spoofing, antitrust, FX and other benchmarks
- FINRA: scrutiny of AML programs, low price securities and other issues

For more information and to register, please click [here](#).

Attorney

- Anirudh Bansal