

Brad Bondi Speaks at PLI's Understanding the Securities Laws 2019

Friday, July 19, 2019 1:00 PM to 8:30 PM

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On Friday, July 19, 2019, Brad Bondi spoke at PLI's Understanding the Securities Laws 2019. The panel, Liability for Corporate Executives and Officers, discussed Section 16 reporting and liability, "short-swing" profit rules, implications of delinquent filings, Sarbanes-Oxley and Dodd-Frank "clawback" provisions and where things stand today, insider trading, minimizing liability risk using 10b5-1 plans, and litigation and SEC enforcement actions. Brad was joined by speaker Jennifer L. Nadborny of Simpson Thacher & Bartlett LLP.

Attorney

- Bradley J. Bondi