

---

## Cahill Prevails on Behalf of UBS Securities in FINRA Arbitration

**Date: 09/18/23**

A Cahill litigation team led by partner Tammy Roy prevailed on behalf of UBS Securities LLC in connection with a \$20 million FINRA arbitration filed against UBS by a hedge fund and related entities. Claimants, who were former customers of UBS's prime brokerage services, asserted a variety of contract and tort claims related to certain margin increases and trading restrictions placed on the hedge fund's accounts. After a six-day arbitration hearing in August, 2023, the panel of three arbitrators issued an award, denying Claimants' claims in their entirety.

The outcome was featured in a recent issue of The ArbReporter®, a weekly summary of FINRA arbitration awards to the industry published by J.S. Held.

---

### Attorney

- Tammy L. Roy