
SEC Issues Standards for Imposing Fines on Corporations

Date: 01/09/06

Last week the Securities and Exchange Commission, led by new Chairman Christopher Cox, announced the issuance of standards that the SEC will use when it imposes fines on corporations. These standards come in the wake of several mega corporate fines that have been criticized — both within and outside the SEC—as random if not heavy handed.

Attorney

- David G. Januszewski