
SEC Recent Developments: Actions taken at Open Meeting December 13, 2006

Date: 12/14/06

At an open meeting of the Securities and Exchange Commission ("SEC") held December 13, 2006, the SEC took the following significant actions:

- Adoption of e-Proxy Rule Amendments
- Proposed Rules Affecting Pooled Investment Vehicles
- Proposed Rules Allowing Lightly Traded Foreign Private Issuers to Deregister under the Exchange Act
- Proposed Interpretive Guidance on SOX 404
- Bank Broker Provisions to be Proposed Jointly with Federal Reserve Board

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