
Investor Advisory Committee Recommends Rule 10b5-1 Reform

Date: 09/16/21

On August 26, 2021, the Investor as Owner Subcommittee (“Subcommittee”) of the Securities and Exchange Commission’s Investor Advisory Committee (“IAC”) issued [draft recommendations](#) regarding trading plans intended to meet the requirements of Rule 10b5-1 under the Securities Exchange Act of 1934 (“Exchange Act”). The IAC approved the draft recommendations at its meeting held on September 9, 2021.

Attorneys

- Helene R. Banks
- Kimberly C. Petillo-Décossard
- Sarah Klein-Cloud